



# On the group of unit-valued polynomial functions

Amr Ali Al-Maktry<sup>1</sup>

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## Abstract

Let  $R$  be a finite commutative ring. The set  $\mathcal{F}(R)$  of polynomial functions on  $R$  is a finite commutative ring with pointwise operations. Its group of units  $\mathcal{F}(R)^\times$  is just the set of all unit-valued polynomial functions. We investigate polynomial permutations on  $R[x]/(x^2) = R[\alpha]$ , the ring of dual numbers over  $R$ , and show that the group  $\mathcal{P}_R(R[\alpha])$ , consisting of those polynomial permutations of  $R[\alpha]$  represented by polynomials in  $R[x]$ , is embedded in a semidirect product of  $\mathcal{F}(R)^\times$  by the group  $\mathcal{P}(R)$  of polynomial permutations on  $R$ . In particular, when  $R = \mathbb{F}_q$ , we prove that  $\mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha]) \cong \mathcal{P}(\mathbb{F}_q) \ltimes_{\theta} \mathcal{F}(\mathbb{F}_q)^\times$ . Furthermore, we count unit-valued polynomial functions on the ring of integers modulo  $p^n$  and obtain canonical representations for these functions.

**Keywords** Finite commutative rings · Polynomial functions · Polynomial mappings · Unit-valued polynomial functions · Permutation polynomials · Polynomial permutations · Dual numbers · Semidirect product

## 1 Introduction

Throughout this paper  $R$  is a finite commutative ring with unity  $1 \neq 0$ . We denote by  $R^\times$  the group of units of  $R$ . A function  $F : R \rightarrow R$  is called a polynomial function on  $R$  if there exists a polynomial  $f \in R[x]$  such that  $F(r) = f(r)$  for each  $r \in R$ . In this case, we say that  $f$  induces (represents)  $F$  or  $F$  is induced (represented) by  $f$ . If  $F$  is a bijection, we say that  $F$  is a *polynomial permutation* on  $R$  and  $f$  is a *permutation polynomial* on  $R$  (or  $f$  permutes  $R$ ). When  $F$  is the constant zero,  $f$  is called a null polynomial on  $R$  or shortly, null on  $R$ . The set of all null polynomials is an ideal of  $R[x]$ , which we denote by  $N_R$ .

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✉ Amr Ali Al-Maktry  
almaktry@math.tugraz.at

<sup>1</sup> Institute of Analysis and Number Theory (5010), Technische Universität Graz, Kopernikusgasse 24/II, 8010 Graz, Austria

It is evident that the set  $\mathcal{F}(R)$  of all polynomial functions on  $R$  is a monoid with respect to composition of functions. Its group of invertible elements  $\mathcal{P}(R)$  consists of polynomial permutations on  $R$ , and is called the group of polynomial permutations on  $R$ . Also,  $\mathcal{F}(R)$  is a ring with addition and multiplication defined pointwise.

We are interested in the group of units of the pointwise ring structure on  $\mathcal{F}(R)$ , which we denote by  $\mathcal{F}(R)^\times$ . We show a relation between the group  $\mathcal{F}(R)^\times$  and the group of those polynomial permutations on  $R[x]/(x^2)$  that are represented by polynomials with coefficients in  $R$ . Moreover, when  $R = \mathbb{Z}_{p^n}$  the ring of integers modulo  $p^n$  we find the order of  $\mathcal{F}(\mathbb{Z}_{p^n})^\times$  and give canonical representations for its elements.

## 2 Preliminaries

In this section, we introduce the concepts and notations used frequently in the paper.

**Definition 1** Let  $A$  be a ring and  $f \in A[x]$ . Then:

1.  $[f]_A$  denotes the polynomial function induced by  $f$  on  $A$ ;
2. if  $[f]_A$  maps  $A$  into  $A^\times$ , then  $f$  is called a *unit-valued polynomial* on  $A$ , and  $[f]_A$  is called a *unit-valued polynomial function* on  $A$ ;
3. when  $[f]_A$  is a bijection on  $A$ , we call  $[f]_A$  a *polynomial permutation* and  $f$  a *permutation polynomial* on  $A$ .

Throughout this paper for every  $f \in R[x]$ , let  $f'$  denote its formal derivative.

Unit-valued polynomials and unit-valued polynomial functions have been employed in the literature to examine other mathematical objects. Loper [6] uses unit-valued polynomials for distinguishing two classes of commutative rings:  $D$ -rings and non- $D$ -rings, where  $D$ -rings are characterized by the fact that every unit-valued polynomial is a constant. For instance, all semi-local rings (and, in particular, all finite rings) are non- $D$  rings. Unit-valued polynomials also figure in the characterization of permutation polynomials on finite local rings. We illustrate this by a well-known fact:

**Fact 1** [7, Theorem 3] *Let  $R$  be a local ring with maximal ideal  $M$ , and let  $f \in R[x]$ . Then  $f$  is a permutation polynomial on  $R$  if and only if the following conditions hold:*

1.  $\bar{f}$  is a permutation polynomial on the residue field  $R/M$ , where  $\bar{f}$  denotes the reduction of  $f$  modulo  $M$ ;
2.  $f'(a) \not\equiv 0 \pmod{M}$  for every  $a \in M$ .

Indeed, the second condition of the previous fact requires  $f'$  to be a unit-valued polynomial on  $R$  or, equivalently,  $[f']_R$  to be a unit-valued polynomial function.

**Remark 1** Recall that, in a finite commutative ring  $R$  with unity, every element is either a unit or a zero divisor, according to whether multiplication by the element is a bijection of  $R$  or not (see for example [5]).

From now on, let “ $\cdot$ ” denote the pointwise multiplication of functions.

**Fact 2** Let  $R$  be a finite commutative ring, and  $\mathcal{F}(R)$  the set of polynomial functions on  $R$ . Then  $\mathcal{F}(R)$  is a finite commutative ring with nonzero unity, where addition and multiplication are defined pointwise. In particular,  $\mathcal{F}(R)$  is a subring of  $R^R$ . Moreover,  $\mathcal{F}(R)^\times$  is an Abelian group and;

$$\mathcal{F}(R)^\times = \{F \in \mathcal{F}(R) : F \text{ is a unit-valued polynomial function}\}.$$

**Proof** It is clear that  $\mathcal{F}(R)$  forms a finite commutative ring under pointwise operations with the constant function 1 as its unity  $1_{\mathcal{F}(R)}$ .

Moreover, since  $\mathcal{F}(R)$  is a commutative ring,  $\mathcal{F}(R)^\times$  is an Abelian group. Now, it is easy to see that every unit-valued polynomial function is regular, and hence invertible by Remark 1. Thus  $\mathcal{F}(R)^\times$  contains every unit-valued polynomial function.

For the other inclusion, let  $F \in \mathcal{F}(R)^\times$ . Then there exists  $F^{-1} \in \mathcal{F}(R)^\times$  such that  $F \cdot F^{-1} = 1_{\mathcal{F}(R)}$ , that is  $F(r)F^{-1}(r) = 1$  for each  $r \in R$ . Hence  $F(r) \in R^\times$  for each  $r \in R$ . Therefore  $F$  is a unit-valued polynomial function by Definition 1.  $\square$

**Remark 2** When  $R$  is an infinite commutative ring, it is still true that  $\mathcal{F}(R)$  is a commutative ring (infinite) and every element of  $\mathcal{F}(R)^\times$  is a unit-valued polynomial function, but  $\mathcal{F}(R)^\times$  may be properly contained in the set of all unit-valued polynomial functions.

The following example illustrates the previous remark.

**Example 1** Let  $R = \{\frac{a}{b} : a, b \in \mathbb{Z} \text{ and } 2 \nmid b\}$ , that is,  $R$  is the localization of  $\mathbb{Z}$  at  $2\mathbb{Z}$ . Then the polynomial  $f = 1 + 2x$  is a unit-valued polynomial on  $R$ , and  $F = [f]_R$  is a unit-valued polynomial function. We claim that  $F$  has no inverse in  $\mathcal{F}(R)$ . Assume, on the contrary, that  $F$  is invertible. So there exists  $F_1 \in \mathcal{F}(R)$  such that  $F \cdot F_1 = 1_{\mathcal{F}(R)}$ , i.e.,  $F(r)F_1(r) = 1$  for every  $r \in R$ . Now, since  $F_1 \in \mathcal{F}(R)$ , there exists  $f_1 \in R[x]$  such that  $F_1 = [f_1]_R$ . Then the polynomial  $h(x) = (1 + 2x)f_1(x) - 1$  is of positive degree. Further,  $h$  has infinitely many roots in  $R$  since  $h(r) = F(r)F_1(r) - 1 = 0$  for every  $r \in R$ , which contradicts the fundamental theorem of algebra.

**Definition 2** For a commutative  $R$ , the ring  $R[x]/(x^2)$  is called the ring of dual numbers over  $R$ . This ring can be viewed as the ring  $R[\alpha] = \{a + b\alpha : a, b \in R, \alpha^2 = 0\}$ , where  $\alpha$  denotes the element  $x + (x^2)$ .

**Remark 3** In the previous definition,  $R$  is a subring of  $R[\alpha]$ . Therefore every polynomial  $g \in R[x]$  induces two functions: one on  $R[\alpha]$  and one on  $R$ , namely  $[g]_{R[\alpha]}$  and its restriction (to  $R$ )  $[g]_R$ .

The following fact about the polynomials of  $R[\alpha]$  can be proved easily.

**Fact 3** Let  $R$  be a commutative ring, and  $a, b \in R$ .

1. Let  $g \in R[x]$ . Then  $g(a + b\alpha) = g(a) + bg'(a)\alpha$ .
2. Let  $g \in R[\alpha][x]$ , and  $g_1, g_2 \in R[x]$  the unique polynomials in  $R[x]$  such that  $g = g_1 + g_2\alpha$ . Then

$$g(a + b\alpha) = g_1(a) + (bg'_1(a) + g_2(a))\alpha.$$

**Fact 4** Let  $g \in R[x]$ . Then  $g$  is a null polynomial on  $R$  if and only if  $g\alpha$  is a null polynomial on  $R[\alpha]$ .

**Proof** ( $\Leftarrow$ ) Immediate since  $R$  is a subring of  $R[\alpha]$  and, for  $r \in R$ ,  $r\alpha = 0$  if and only if  $r = 0$ .

( $\Rightarrow$ ) Let  $a, b \in R$ . Then, by Fact 3 (1),

$$g(a + b\alpha)\alpha = (g(a) + g'(a)b\alpha)\alpha = g(a)\alpha + 0 = 0\alpha = 0.$$

□

Recall from the introduction that  $\mathcal{P}(R[\alpha])$  denotes the group of polynomial permutations on  $R[\alpha]$ . It is apparent that  $\mathcal{P}(R[\alpha])$ , as a subset of  $\mathcal{F}(R[\alpha])$ , is finite.

We now consider those polynomial permutations on  $R[\alpha]$  that are induced by polynomials with coefficients in  $R$  (as opposed to  $R[\alpha]$ ).

**Definition 3** Let  $\mathcal{P}_R(R[\alpha]) = \{F \in \mathcal{P}(R[\alpha]) : F = [f]_{R[\alpha]} \text{ for some } f \in R[x]\}$ .

From now on, let “ $\circ$ ” denote the composition of functions (or polynomials) and  $id_R$  the identity function on  $R$ .

**Remark 4** Let  $f, g \in R[x]$ . Then their composition  $g \circ f$  induces a function on  $R$ , which is the composition of the functions induced by  $f$  and  $g$  on  $R$ . Similarly,  $f + g$  and  $fg$  induce two functions on  $R$ , namely the pointwise addition and multiplication, respectively, of the functions induced by  $f$  and  $g$ . In terms of our notation this is equivalent to the following:

1.  $[f \circ g]_R = [f]_R \circ [g]_R$ ;
2.  $[f + g]_R = [f]_R + [g]_R$ ;
3.  $[fg]_R = [f]_R \cdot [g]_R$ .

We will use the above equalities frequently in our arguments in the next sections.

**Fact 5** *The set  $\mathcal{P}_R(R[\alpha])$  is a subgroup of  $\mathcal{P}(R[\alpha])$ .*

**Proof** Evidently,  $id_{R[\alpha]} = [x]_{R[\alpha]} \in \mathcal{P}_R(R[\alpha])$ . Since  $\mathcal{P}_R(R[\alpha])$  is finite, it suffices to show that  $\mathcal{P}_R(R[\alpha])$  is closed under composition. So if  $F_1, F_2 \in \mathcal{P}_R(R[\alpha])$ , then  $F_1, F_2$  are induced by  $f_1, f_2 \in R[x]$ , respectively. Further,  $F_1, F_2 \in \mathcal{P}(R[\alpha])$ , and hence  $[f_1 \circ f_2]_{R[\alpha]} = F_1 \circ F_2 \in \mathcal{P}(R[\alpha])$ . Therefore, by Definition 3,  $F_1 \circ F_2 \in \mathcal{P}_R(R[\alpha])$ .  $\square$

### 3 The embedding of the group $\mathcal{P}_R(R[\alpha])$ in the group $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$

We will show that the group  $(\mathcal{P}_R(R[\alpha]), \circ)$ , which consists of permutations represented by polynomials from  $R[x]$ , is embedded in a semidirect product of the group  $(\mathcal{F}(R)^{\times}, \cdot)$  of unit-valued polynomial functions on  $R$  with respect to pointwise multiplication by the group  $(\mathcal{P}(R), \circ)$  of polynomial permutations on  $R$  with respect to composition via a homomorphism  $\theta$  defined in Lemma 2 below.

From now on, for a polynomial function  $L$ , the notation  $L^{-1}$  sometimes means the inverse with respect to pointwise multiplication (namely, when  $L \in \mathcal{F}(R)^{\times}$ ) and sometimes the inverse with respect to composition (namely, when  $L \in \mathcal{P}(R)$ ). No confusion should follow from this convention since  $\mathcal{F}(R)^{\times} \cap \mathcal{P}(R)$  is empty.

The following lemma is easy and straightforward.

**Lemma 1** *Let  $F, F_1 \in \mathcal{F}(R)^{\times}$ , and  $G \in \mathcal{F}(R)$ . Then the following hold:*

1.  $F \circ G \in \mathcal{F}(R)^{\times}$ ;
2.  $(F \cdot F_1) \circ G = (F \circ G) \cdot (F_1 \circ G)$ ;
3. if  $F^{-1}$  is the inverse of  $F$ , then  $F^{-1} \circ G$  is the inverse of  $F \circ G$ .

An expert reader will notice that Lemma 1 defines a group action of  $\mathcal{P}(R)$  on  $\mathcal{F}(R)^{\times}$  in which every element of  $\mathcal{P}(R)$  induces a homomorphism on  $\mathcal{F}(R)^{\times}$ , and what is coming now is a consequence of that. However, we do not refer to this action explicitly to avoid recalling additional materials. In fact, our arguments are elementary and depend on direct calculations.

**Lemma 2** *Let  $R$  be a finite commutative ring, and  $G \in \mathcal{P}(R)$ . Then*

1. the map  $\theta_G : \mathcal{F}(R)^{\times} \longrightarrow \mathcal{F}(R)^{\times}$  defined by  $(F)\theta_G = F \circ G$ , for all  $F \in \mathcal{F}(R)^{\times}$ , is an automorphism of  $(\mathcal{F}(R)^{\times}, \cdot)$ ;
2. the map  $\theta : \mathcal{P}(R) \longrightarrow \text{Aut}(\mathcal{F}(R)^{\times})$  defined by  $(G)\theta = \theta_G$  is a homomorphism with respect to composition.

**Proof** Ad(1) in view of Lemma 1 (2) we need only show that  $\theta_G$  is a bijection. Let  $F \in \mathcal{F}(R)^{\times}$ . Then  $F \circ G^{-1} \in \mathcal{F}(R)^{\times}$  by Lemma 1 (1), and we have that

$$(F \circ G^{-1})\theta_G = (F \circ G^{-1}) \circ G = F \circ (G^{-1} \circ G) = F \circ \text{id}_R = F.$$

This shows that  $\theta$  is a surjection, and hence a bijection, since  $\mathcal{F}(R)^\times$  is finite.

Ad(2) if  $\theta : \mathcal{P}(R) \longrightarrow \text{Aut}(\mathcal{F}(R)^\times)$  is given by  $(G)\theta = \theta_G$ , then for every  $G_1, G_2 \in \mathcal{P}(R)$  and any  $F \in \mathcal{F}(R)^\times$ , we have

$$(F)\theta_{G_1 \circ G_2} = F \circ (G_1 \circ G_2) = (F \circ G_1) \circ G_2 = (F \circ G_1)\theta_{G_2} = ((F)\theta_{G_1})\theta_{G_2} = (F)\theta_{G_1} \circ \theta_{G_2}.$$

Hence  $\theta_{G_1 \circ G_2} = \theta_{G_1} \circ \theta_{G_2}$  and  $\theta$  is a homomorphism.  $\square$

**Notation and Remark 1** Recall that, for two groups  $H, K$  and a homomorphism  $\varphi$  from  $K$  into  $\text{Aut}(H)$ , the semidirect product of  $H$  by  $K$  with respect to  $\varphi$  is the group of all pairs  $(k, h)$  such that  $k \in K$  and  $h \in H$ , with the following operation

$$(k_1, h_1)(k_2, h_2) = (k_1 k_2, (h_1)\varphi_{k_2} h_2),$$

where  $\varphi_{k_2}$  is the image of  $k_2$  in  $\text{Aut}(H)$  via the homomorphism  $\varphi$ . This group is denoted by  $K \ltimes_\varphi H$ .

**Proposition 1** Let  $R$  be a finite commutative ring,  $\mathcal{P}(R)$  the group of polynomial permutations and  $\mathcal{F}(R)^\times$  the group of unit-valued polynomial functions. Let  $\theta : \mathcal{P}(R) \longrightarrow \text{Aut}(\mathcal{F}(R)^\times)$  be the homomorphism of Lemma 2. Then the operation on the group  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$  is defined by

$$(G_1, F_1)(G_2, F_2) = (G_1 \circ G_2, (F_1)\theta_{G_2} \cdot F_2) = (G_1 \circ G_2, (F_1 \circ G_2) \cdot F_2),$$

where  $G_1, G_2 \in \mathcal{P}(R)$  and  $F_1, F_2 \in \mathcal{F}(R)^\times$ . In particular,

$$(G, F)^{-1} = (G^{-1}, F^{-1} \circ G^{-1})$$

for every  $G \in \mathcal{P}(R)$  and  $F \in \mathcal{F}(R)^\times$ . (Here  $G^{-1}$  is the inverse with respect to composition and  $F^{-1}$  is the inverse with respect to pointwise multiplication.)

The proof of Proposition 1 depends essentially on Lemma 2, and is just the justifications of the semidirect product properties (see for example [4]).

**Remark 5** Consider the following subsets of  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$ :

$$\overline{\mathcal{P}(R)} = \{(G, 1_{\mathcal{F}(R)}) : G \in \mathcal{P}(R)\}, \text{ and } \overline{\mathcal{F}(R)^\times} = \{(id_R, F) : F \in \mathcal{F}(R)^\times\}.$$

It is a routine verification to show that  $\overline{\mathcal{P}(R)}$  and  $\overline{\mathcal{F}(R)^\times}$  are subgroups of  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$  that are isomorphic to  $\mathcal{P}(R)$  and  $\mathcal{F}(R)^\times$ , respectively, satisfying the following conditions:

1.  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times = \overline{\mathcal{P}(R)} \overline{\mathcal{F}(R)^\times}$ ;
2.  $\overline{\mathcal{F}(R)^\times} \triangleleft \mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$ ;
3.  $\overline{\mathcal{P}(R)} \cap \overline{\mathcal{F}(R)^\times} = \{(id_R, 1_{\mathcal{F}(R)})\}$ .

This justifies calling  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$  the (internal) semidirect product of  $\overline{\mathcal{F}(R)^\times}$  by  $\overline{\mathcal{P}(R)}$ .

Our next aim is to show that  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$  contains an isomorphic copy of the group  $\mathcal{P}_R(R[\alpha])$  defined in Definition 3. For completeness' sake, we prove the following lemma, which is a special case of [1, Theorem 4.1].

**Lemma 3** *Let  $g \in R[x]$ . Then  $g$  permutes  $R[\alpha]$  if and only if  $g$  permutes  $R$  and  $g'$  is a unit-valued polynomial.*

**Proof** ( $\Rightarrow$ ) Let  $c \in R$ . Then  $c \in R[\alpha]$ . Since  $g$  permutes  $R[\alpha]$ , there exist  $a, b \in R$  such that  $g(a + b\alpha) = c$ . Thus  $g(a) + bg'(a)\alpha = c$  by Fact 3 (1). So  $g(a) = c$ , and therefore  $g$  is onto on the ring  $R$ , and hence a permutation polynomial on  $R$ .

Suppose that  $g'$  is not a unit-valued polynomial. Then there exists  $a \in R$  such that  $g'(a)$  is a zero divisor of  $R$ . Now, if  $0 \neq b \in R$  such that  $bg'(a) = 0$ , then by Fact 3 (1),

$$g(a + b\alpha) = g(a) + bg'(a)\alpha = g(a).$$

So  $g$  does not permute  $R[\alpha]$ , which is a contradiction.

( $\Leftarrow$ ) It is enough to show that  $g$  is injective. Now, if  $a, b, c, d \in R$  such that  $g(a + b\alpha) = g(c + d\alpha)$ , then by Fact 3 (1),

$$g(a) + bg'(a)\alpha = g(c) + dg'(c)\alpha.$$

Then we have  $g(a) = g(c)$  and  $bg'(a) = dg'(c)$ . Hence  $a = c$  since  $g$  permutes  $R$ . Then, since  $g'(a)$  is a unit of  $R$ ,  $b = d$  follows.  $\square$

Recall from Definition 1 that, for a ring  $A$  and a polynomial  $f \in A[x]$ ,  $[f]_A$  stands for the polynomial function induced by  $f$  on  $A$ .

**Remark 6** Let  $F \in \mathcal{P}_R(R[\alpha])$ . Then there exists  $f \in R[x]$  such that  $F = [f]_{R[\alpha]}$  by Definition 3. Further, by Lemma 3,  $([f]_R, [f']_R) \in \mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$ . Now define a map

$$\phi : \mathcal{P}_R(R[\alpha]) \longrightarrow \mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times} \text{ by } \phi(F) = ([f]_R, [f']_R).$$

To show that  $\phi$  is well-defined, we consider another polynomial  $g \in R[x]$  such that  $F = [g]_{R[\alpha]}$ . Then for every  $a, b \in R$  we have, by Fact 3 (1),

$$[g]_R(a) + b[g']_R(a)\alpha = g(a) + bg'(a)\alpha = F(a + b\alpha) = f(a) + bf'(a)\alpha = [f]_R(a) + b[f']_R(a)\alpha.$$

So substituting  $b = 1$  yields

$$[g]_R(a) + [g']_R(a)\alpha = [f]_R(a) + [f']_R(a)\alpha \text{ for every } a \in R.$$

Therefore  $([f]_R, [f']_R) = ([g]_R, [g']_R)$ , and hence  $\phi$  is well-defined. Also, this shows that the pair  $([f]_R, [f']_R)$  determines  $F = [f]_{R[\alpha]}$  completely, and, therefore,  $\phi$  is injective.

Recall from Definition 3 and Fact 2 the definitions of the groups  $(\mathcal{P}_R(R[\alpha]), \circ)$  and  $(\mathcal{F}(R)^{\times}, \cdot)$ , namely

$$\mathcal{P}_R(R[\alpha]) = \{F \in \mathcal{P}(R[\alpha]) : F = [f]_{R[\alpha]} \text{ for some } f \in R[x]\}$$

and

$$\mathcal{F}(R)^\times = \{F \in \mathcal{F}(R) : F \text{ is a unit-valued polynomial function}\}.$$

**Proposition 2** *Let  $R$  be a finite commutative ring, and  $\theta$  the homomorphism defined in Lemma 2. Then the map*

$$\phi : \mathcal{P}_R(R[\alpha]) \longrightarrow \mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^\times \text{ defined by } \phi(F) = ([f]_R, [f']_R),$$

where  $f \in R[x]$  such that  $F = [f]_{R[\alpha]}$ , is an embedding of  $\mathcal{P}_R(R[\alpha])$  in  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^\times$ .

**Proof** By Remark 6,  $\phi$  is well-defined and injective. So we need only show that  $\phi$  is a homomorphism. Let  $F_1 \in \mathcal{P}_R(R[\alpha])$  be induced by  $f_1 \in R[x]$ . Then  $F \circ F_1$  is induced by  $f \circ f_1$ . Since  $(f \circ f_1)' = (f' \circ f_1) \cdot f'_1$ ,  $\phi$  maps  $F \circ F_1$  to  $([f \circ f_1]_R, [(f' \circ f_1) \cdot f'_1]_R)$ . Therefore, using Remark 4 and Proposition 1,

$$\begin{aligned} \phi[F \circ F_1] &= ([f \circ f_1]_R, [f' \circ f_1]_R \cdot [f'_1]_R) = ([f]_R \circ [f_1]_R, ([f']_R \circ [f_1]_R) \cdot [f'_1]_R) \\ &= ([f]_R, [f']_R)([f_1]_R, [f'_1]_R) = \phi(F)\phi(F_1). \end{aligned}$$

□

#### 4 The pointwise stabilizer group of $R$ and the group $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^\times$

In this section, we show that the group  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^\times$  contains a normal subgroup that is isomorphic to the pointwise stabilizer group of  $R$  (see Definition 4). Moreover, this stabilizer group can be viewed as a subgroup of the group of unit-valued polynomial functions  $\mathcal{F}(R)^\times$ . In particular, when  $R = \mathbb{F}_q$  is the finite field of  $q$  elements, we prove that  $\mathcal{F}(\mathbb{F}_q)^\times$  is isomorphic to this subgroup. We employ this result in the end of this section to prove that  $\mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha]) \cong \mathcal{P}(\mathbb{F}_q) \ltimes_{\theta} \mathcal{F}(\mathbb{F}_q)^\times$ .

Now we recall the definition of the pointwise stabilizer group of  $R$  from [1].

**Definition 4** Let  $St_{\alpha}(R) = \{F \in \mathcal{P}(R[\alpha]) : F(r) = r \text{ for every } r \in R\}$ .

It is evident that  $St_{\alpha}(R)$  is closed under composition, and hence a subgroup of  $\mathcal{P}(R[\alpha])$ , since it is a non-empty finite set. We call this group the pointwise stabilizer of  $R$ .

Recall from the introduction that the ideal  $N_R$  consists of all null polynomials on  $R$ . Thus, for any  $g, h \in R[x]$ ,  $[g]_R = [h]_R$  if and only if  $g - h \in N_R$ .

We need the following proposition from [1]. We include a proof for the readers' convenience.

**Proposition 3** [1, Proposition 4.6] *Let  $R$  be a finite commutative ring. Then*



$$St_\alpha(R) = \{F \in \mathcal{P}(R[\alpha]) : F \text{ is induced by } x + g(x), \text{ for some } g \in N_R\}.$$

In particular,  $St_\alpha(R)$  is subgroup of  $\mathcal{P}_R(R[\alpha])$ .

**Proof** Obviously,

$$St_\alpha(R) \supseteq \{F \in \mathcal{P}(R[\alpha]) : F \text{ is induced by } x + g(x), \text{ for some } g \in N_R\}.$$

Now if  $F \in St_\alpha(R)$ , then by Definition 4,  $F \in \mathcal{P}(R[\alpha])$  such that  $F(r) = r$  for each  $r \in R$ . Further,  $F$  is induced by a polynomial  $h_0 + h_1\alpha$ , where  $h_0, h_1 \in R[x]$ ; and so by Fact 3 (2),  $r = F(r) = h_0(r) + h_1(r)\alpha$  for every  $r \in R$ . But then  $h_1(r) = 0$  for every  $r \in R$ , i.e.,  $h_1$  is null on  $R$ . Hence  $h_1\alpha$  is null on  $R[\alpha]$  by Fact 4. Thus  $[h_0]_{R[\alpha]} = [h_0 + h_1\alpha]_{R[\alpha]} = F$ , that is,  $F$  is induced by  $h_0$ . Also,  $h_0 \equiv x \pmod{N_R}$ , that is,  $[h_0]_R = id_R$ , and therefore  $h_0(x) = x + f(x)$  for some  $f \in N_R$ . This shows the other inclusion.

The last statement follows from  $x + N_R \subseteq R[x]$  and the fact that  $St_\alpha(R)$  and  $\mathcal{P}_R(R[\alpha])$  are subgroups of  $\mathcal{P}(R[\alpha])$ .  $\square$

**Remark 7** Let  $\mathbb{F}_q = \{a_0, \dots, a_{q-1}\}$  be the finite field of  $q$  elements. If  $F : \mathbb{F}_q \longrightarrow \mathbb{F}_q$ , then the polynomial  $f(x) = \sum_{i=0}^{q-1} F(a_i) \prod_{\substack{j=0 \\ j \neq i}}^{q-1} \frac{x-a_j}{a_i-a_j} \in \mathbb{F}_q[x]$  represents  $F$ . Such a

polynomial is called Lagrange polynomial and this method of construction is called Lagrange interpolation. Therefore every function on a finite field is a polynomial function, and hence  $|\mathcal{F}(\mathbb{F}_q)| = q^q$ . In particular, every permutation (bijection) on  $\mathbb{F}_q$  is a polynomial permutation, and so  $|\mathcal{P}(\mathbb{F}_q)| = q!$ . Further, every unit-valued function is a unit-valued polynomial function, and thus  $|\mathcal{F}(\mathbb{F}_q)^\times| = (q-1)^q$  since  $\mathbb{F}_q^\times = \mathbb{F}_q \setminus \{0\}$ . Moreover, it is obvious that Lagrange interpolation assigns to every function on  $\mathbb{F}_q$  a unique polynomial of degree at most  $q-1$ . Hence every polynomial of degree at most  $q-1$  is Lagrange polynomial of a function on  $\mathbb{F}_q$  since the number of these polynomials is  $q^q$ , which is the number of functions on  $\mathbb{F}_q$ .

Next, we show that  $St_\alpha(R)$  is embedded in  $\mathcal{F}(R)^\times$ . For this we need the following well-known fact.

**Lemma 4** For each pair of functions  $(G, F)$  with

$$G : \mathbb{F}_q \longrightarrow \mathbb{F}_q \text{ bijective and } F : \mathbb{F}_q \longrightarrow \mathbb{F}_q \setminus \{0\}$$

there exists a polynomial  $g \in \mathbb{F}_q[x]$  such that  $([g]_{\mathbb{F}_q}, [g']_{\mathbb{F}_q}) = (G, F)$ .

**Proof** Let  $f_0, f_1 \in \mathbb{F}_q[x]$  such that  $[f_0]_{\mathbb{F}_q} = G$  and  $[f_1]_{\mathbb{F}_q} = F$ , which we know to exist by Remark 7. Then set

$$g(x) = f_0(x) + (f'_0(x) - f_1(x))(x^q - x).$$

Thus

$$g'(x) = (f_0''(x) - f_1'(x))(x^q - x) + f_1(x),$$

whence  $[g]_{\mathbb{F}_q} = [f_0]_{\mathbb{F}_q} = G$  and  $[g']_{\mathbb{F}_q} = [f_1]_{\mathbb{F}_q} = F$  since  $(x^q - x)$  is a null polynomial on  $\mathbb{F}_q$ .  $\square$

**Theorem 1** *Let  $R$  be a finite commutative ring. Then the map*

$$\psi : St_\alpha(R) \longrightarrow \mathcal{F}(R)^\times \text{ defined by } \psi(F) = [f']_R,$$

*where  $f \in R[x]$  such that  $F = [f]_{R[\alpha]}$ , is an embedding of the pointwise stabilizer of  $R$ ,  $St_\alpha(R)$ , in the group of unit-valued polynomial functions  $\mathcal{F}(R)^\times$ .*

*If  $R = \mathbb{F}_q$ , then  $St_\alpha(\mathbb{F}_q) \cong \mathcal{F}(\mathbb{F}_q)^\times$ .*

**Proof** Let  $F \in St_\alpha(R)$ . Then there exists  $f \in R[x]$  such that  $F = [f]_{R[\alpha]}$  by Proposition 3. Further,  $[f]_R = id_R = [x]_R$  by Definition 4. To show that  $\psi$  is well-defined, let  $f_1 \in R[x]$  such that  $F = [f_1]_{R[\alpha]}$ . Then  $[f']_R = [f'_1]_R$  by Remark 6. By Lemma 3,  $[f']_R \in \mathcal{F}(R)^\times$ . Thus  $\psi$  is well-defined. Now, let  $F_1 \in St_\alpha(R)$ . Then there exists  $g \in R[x]$  such that  $F_1 = [g]_{R[\alpha]}$  by Proposition 3. Hence

$$\begin{aligned} \psi(F \circ F_1) &= [(f \circ g)']_R = [(f' \circ g) \cdot g']_R = [f' \circ g]_R \cdot [g']_R \\ &= ([f']_R \circ [g]_R) \cdot [g']_R. \end{aligned}$$

By Definition 4,  $[g]_R = id_R$ , and therefore  $[f']_R \circ [g]_R = [f']_R$ . This implies that

$$\psi(F \circ F_1) = [f']_R \cdot [g']_R = \psi(F) \cdot \psi(F_1),$$

whence  $\psi$  is a homomorphism. Now, if  $F_1 \neq F$ , then  $[g']_R \neq [f']_R$  by Remark 6 and hence  $\psi(F_1) \neq \psi(F)$ .  $\psi$  is, therefore, injective and  $St_\alpha(R)$  is embedded in  $\mathcal{F}(R)^\times$ .

For the case  $R = \mathbb{F}_q$ , we need only prove that  $\psi$  is surjective. Let  $F \in \mathcal{F}(\mathbb{F}_q)^\times$ . Then, by Lemma 4, there exists  $f \in \mathbb{F}_q[x]$  such that  $[f]_{\mathbb{F}_q} = id_{\mathbb{F}_q}$  and  $[f']_{\mathbb{F}_q} = F$ . Hence Lemma 3 yields  $[f]_{\mathbb{F}_q[\alpha]} \in \mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha])$ . Thus  $[f]_{\mathbb{F}_q[\alpha]} \in St_\alpha(\mathbb{F}_q)$  by Definition 4, and hence  $\psi([f]_{\mathbb{F}_q[\alpha]}) = [f']_{\mathbb{F}_q} = F$ . Therefore  $\psi$  is surjective.  $\square$

**Notation 1** Let  $S_\alpha(R)$  denote the subgroup  $\psi(St_\alpha(R))$  of  $\mathcal{F}(R)^\times$ , where  $\psi$  is the embedding of Theorem 1. Note that the group operation of  $St_\alpha(R)$  is composition of functions, while the group operation on  $S_\alpha(R)$  is pointwise multiplication of functions.

**Remark 8** From Remark 5, we know that

$$\mathcal{F}(R)^\times \cong \overline{\mathcal{F}(R)^\times} = \{(id_R, F) : F \in \mathcal{F}(R)^\times\} \triangleleft \mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times,$$

and, so, by the embedding  $\psi$  of Theorem 1, we have, with respect to Notation 1, the isomorphisms

$$St_\alpha(R) \cong S_\alpha(R) \cong \{(id_R, F) : F \in S_\alpha(R)\}.$$

This shows that  $St_\alpha(R)$  is embedded in  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$ .

On the other hand, if we restrict the homomorphism  $\phi$  of Proposition 2 to  $St_\alpha(R)$ , we have, by the definitions of  $\phi$  and  $S_\alpha(R)$ ,

$$\begin{aligned}\phi(St_\alpha(R)) &= \{\phi([f]_{R[\alpha]}) : [f]_{R[\alpha]} \in St_\alpha(R) \text{ for some } f \in R[x]\} \\ &= \{(id_R, [f']_R) : [f']_{R[\alpha]} \in St_\alpha(R) \text{ for some } f \in R[x]\} \\ &= \{(id_R, F) : F \in S_\alpha(R)\}.\end{aligned}$$

This shows that the embedding of  $St_\alpha(R)$  in  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$  via Proposition 2 is identical to the embedding using Theorem 1 and Remark 5. In other words the following diagram commutes:

$$\begin{array}{ccc} \mathcal{P}_R(R[\alpha]) & \xrightarrow{\phi(F)=[f]_R, [f']_R} & \mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times} \\ \uparrow \text{inclusion (Proposition 3)} & & \uparrow \text{embedding (Remark 5)} \\ St_\alpha(R) & \xrightarrow{\psi(F)=[f']_R} & \mathcal{F}(R)^{\times} \end{array}$$

where in each case  $f \in R[x]$  such that  $F = [f]_{R[\alpha]}$ .

**Notation 2** We write  $\overline{S_\alpha(R)}$  for the image of  $St_\alpha(R)$  in  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$  under the homomorphism of the commuting diagram of Remark 8. That is,  $\overline{S_\alpha(R)} = \{(id_R, F) : F \in S_\alpha(R)\}$ .

**Lemma 5** Let  $R$  be a finite commutative ring and  $F \in \mathcal{P}(R)$ . Then there exists a polynomial  $f \in R[x]$  such that  $[f]_R = F$  and  $[f']_R$  is a unit-valued polynomial functions on  $R$ .

**Proof** Without loss of generality, we may assume that  $R$  is local. When  $R$  is a finite field, the statement follows from Lemma 4. On the other hand, when  $R$  is a finite local ring that is not a field, the result follows from Fact 1.  $\square$

### Remark 9

1. Define a map

$$\Lambda : \mathcal{P}_R(R[\alpha]) \longrightarrow \mathcal{P}(R) \quad \text{by} \quad \Lambda(F) = [f]_R, \quad \text{where } f \in R[x] \text{ such that } F = [f]_{R[\alpha]}.$$

Then, by Remark 6 and Lemma 5,  $\Lambda$  is a well-defined group epimorphism with  $\ker \Lambda = St_\alpha(R)$ , and therefore  $St_\alpha(R) \triangleleft \mathcal{P}_R(R[\alpha])$  (see also [1]).

2. Let  $\phi(\mathcal{P}_R(R[\alpha]))$  be the isomorphic copy of  $\mathcal{P}_R(R[\alpha])$  contained in  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$  via the homomorphism  $\phi$  of Proposition 2. Then, by (1) and Remark 8,  $\overline{S_\alpha(R)} \triangleleft \phi(\mathcal{P}_R(R[\alpha]))$ .

**Lemma 6** Let  $S_\alpha(R)$  be as in Notation 1, and let  $F \in S_\alpha(R)$ . Then  $F \circ G \in S_\alpha(R)$  for every  $G \in \mathcal{P}(R)$ .

**Proof** Let  $G \in \mathcal{P}(R)$ . Using Lemma 5, choose a polynomial  $f \in R[x]$  such that  $[f]_R = G$  and  $[f']_R = F_1 \in \mathcal{F}(R)^\times$ . Then  $[f]_{R[\alpha]} \in \mathcal{P}_R(R[\alpha])$  by Lemma 3. Thus, by Proposition 2,  $([f]_R, [f']_R) = (G, F_1) \in \phi(\mathcal{P}_R(R[\alpha]))$ , where  $\phi$  is the homomorphism of Proposition 2 (see also, Remark 9 (2)). We now use the fact that  $\overline{S_\alpha(R)} = \{(id_R, F) : F \in S_\alpha(R)\}$  is a normal subgroup of  $\phi(\mathcal{P}_R(R[\alpha]))$ , by Proposition 1 and the fact that  $\mathcal{F}(R)^\times$  is Abelian, we have

$$(G, F_1)^{-1}(id_R, F)(G, F_1) = (G^{-1}, F_1^{-1} \circ G^{-1})(G, (F \circ G) \cdot F_1) = (id_R, F_1^{-1} \cdot (F \circ G) \cdot F_1) = (id_R, F \circ G).$$

Thus  $(id_R, F \circ G) \in \overline{S_\alpha(R)}$ , and hence  $F \circ G \in S_\alpha(R)$ .  $\square$

**Theorem 2** Let  $R$  be a finite commutative ring,  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$  the semidirect product constructed in Proposition 1 and  $St_\alpha(R)$  the stabilizer group defined in Definition 4. Then the map

$$\tilde{\phi} : St_\alpha(R) \longrightarrow \mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times \text{ defined by } \tilde{\phi}(F) = (id_R, [f']_R),$$

where  $f \in R[x]$  such that  $F = [f]_{R[\alpha]}$ , is a normal embedding of  $St_\alpha(R)$  in  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$ .

**Proof** It is evident that  $\tilde{\phi}$  is the restriction of the embedding  $\phi$  of Proposition 2 to  $St_\alpha(R)$ , and hence  $\tilde{\phi}$  is an embedding of  $St_\alpha(R)$  in  $\mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$ . Then, by Remark 8 and Notation 2,

$$\tilde{\phi}(St_\alpha(R)) = \phi(St_\alpha(R)) = \overline{S_\alpha(R)}.$$

So we need only show that  $\overline{S_\alpha(R)} \triangleleft \mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$ . Let  $(id_R, F) \in \overline{S_\alpha(R)}$  and  $(G, F_1) \in \mathcal{P}(R) \ltimes_\theta \mathcal{F}(R)^\times$ . Then by Proposition 1, we have, just as in the proof of Lemma 6, that

$$(G, F_1)^{-1}(id_R, F)(G, F_1) = (id_R, F \circ G).$$

Thus  $(G, F_1)^{-1}(id_R, F)(G, F_1) \in \overline{S_\alpha(R)}$  by Lemma 6.  $\square$

Recall from Notation 1 that  $S_\alpha(R)$  denotes a subgroup of  $\mathcal{F}(R)^\times$ , which is isomorphic to  $St_\alpha(R)$ .

**Remark 10** Let  $G \in \mathcal{P}(R)$ , and let  $\theta_G$  be the automorphism of  $\mathcal{F}(R)^\times$  defined by  $(F)\theta_G = F \circ G$  as in Lemma 2. We prove that the restriction of  $\theta_G$  to  $S_\alpha(R)$  is an automorphism of  $S_\alpha(R)$  by showing that  $S_\alpha(R)$  is invariant under  $\theta_G$ .

Now, by Lemma 6,  $F \circ G \in S_\alpha(R)$  for every  $F \in S_\alpha(R)$ . Thus the restriction of  $\theta_G$  to  $S_\alpha(R)$  is an automorphism, that is, the map  $\tilde{\theta}_G : S_\alpha(R) \longrightarrow S_\alpha(R)$  defined by  $(F)\tilde{\theta}_G = F \circ G$ , for all  $F \in S_\alpha(R)$ , is an automorphism of  $S_\alpha(R)$ .

Then, similar to the homomorphism  $\theta : \mathcal{P}(R) \longrightarrow \text{Aut}(\mathcal{F}(R)^\times)$  of Lemma 2, we have the map  $\tilde{\theta} : \mathcal{P}(R) \longrightarrow \text{Aut}(S_\alpha(R))$  defined by  $(G)\tilde{\theta} = \tilde{\theta}_G$  is a homomorphism.

This allows us to define the semidirect product  $\mathcal{P}(R) \ltimes_{\tilde{\theta}} S_{\alpha}(R)$ . Further, a routine verification shows that the operation on  $\mathcal{P}(R) \ltimes_{\tilde{\theta}} S_{\alpha}(R)$  is just the operation on  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$  restricted to  $\mathcal{P}(R) \ltimes_{\tilde{\theta}} S_{\alpha}(R)$ . Therefore  $\mathcal{P}(R) \ltimes_{\tilde{\theta}} S_{\alpha}(R)$  is a subgroup of  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$ .

From now on, for any set  $A$ , let  $|A|$  denote the number of elements in  $A$ .

**Proposition 4** *Let  $R$  be a finite commutative ring. Let  $\theta$  and  $\tilde{\theta}$  be the homomorphisms of Remark 10. Then  $St_{\alpha}(R) \cong \mathcal{F}(R)^{\times}$  if and only if  $\mathcal{P}(R) \ltimes_{\tilde{\theta}} S_{\alpha}(R) \cong \mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$ .*

**Proof** ( $\Rightarrow$ ) Obvious.

( $\Leftarrow$ ) Assume that  $\mathcal{P}(R) \ltimes_{\tilde{\theta}} S_{\alpha}(R) \cong \mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$ . Then  $|S_{\alpha}(R)| = |\mathcal{F}(R)^{\times}|$ , and thus  $S_{\alpha}(R) = \mathcal{F}(R)^{\times}$  since  $S_{\alpha}(R)$  is a subgroup of  $\mathcal{F}(R)^{\times}$  by Theorem 1. Again, by Theorem 1,  $St_{\alpha}(R) \cong S_{\alpha}(R) = \mathcal{F}(R)^{\times}$ .  $\square$

In Proposition 2 we have proved for any finite ring  $R$  that the group  $\mathcal{P}_R(R[\alpha])$  is embedded in  $\mathcal{P}(R) \ltimes_{\theta} \mathcal{F}(R)^{\times}$ . In the following theorem we show that, for a finite field  $\mathbb{F}_q$ ,

$$\mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha]) \cong \mathcal{P}(\mathbb{F}_q) \ltimes_{\theta} \mathcal{F}(\mathbb{F}_q)^{\times}.$$

**Theorem 3** *Let  $\mathbb{F}_q$  be the finite field of  $q$  elements. Let  $\theta$  and  $\tilde{\theta}$  be the homomorphisms of Remark 10, respectively. Then*

$$\mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha]) \cong \mathcal{P}(\mathbb{F}_q) \ltimes_{\theta} \mathcal{F}(\mathbb{F}_q)^{\times} \cong \mathcal{P}(\mathbb{F}_q) \ltimes_{\tilde{\theta}} S_{\alpha}(\mathbb{F}_q).$$

**Proof** In view of Proposition 2, Proposition 4 and Theorem 1 we need only show that

$$|\mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha])| \geq |\mathcal{F}(\mathbb{F}_q)^{\times}| |\mathcal{P}(\mathbb{F}_q)|.$$

Hence, by Remark 7, it is sufficient to show that  $|\mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha])| \geq q!(q-1)^q$ .

Now consider the pair of functions  $(G, F)$  with

$$G : \mathbb{F}_q \longrightarrow \mathbb{F}_q \text{ bijective and } F : \mathbb{F}_q \longrightarrow \mathbb{F}_q \setminus \{0\}.$$

It is obvious that the total number of different pairs of this form is  $q!(q-1)^q$ . Moreover, by Lemma 4, there exists  $g \in \mathbb{F}_q[x]$  such that  $(G, F) = ([g]_{\mathbb{F}_q}, [g']_{\mathbb{F}_q})$ , and so  $[g]_{\mathbb{F}_q[\alpha]} \in \mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha])$  by Lemma 3. Then, by Remark 6, every two different pairs of functions satisfying the conditions of Lemma 4 determine two different elements of  $\mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha])$ . Therefore  $|\mathcal{P}_{\mathbb{F}_q}(\mathbb{F}_q[\alpha])| \geq q!(q-1)^q$ .  $\square$

**Remark 11** When  $q = p$  (where  $p$  is a prime number), Frisch and Krenn [2] showed that  $\mathcal{P}(\mathbb{F}_p) \ltimes_{\theta} \mathcal{F}(\mathbb{F}_p)^{\times}$  is a homomorphic image of  $\mathcal{P}(\mathbb{Z}_{p^2})$  with non-trivial kernel, and determined the number of Sylow  $p$ -subgroups of  $\mathcal{P}(\mathbb{Z}_{p^n})$  by means of those of  $\mathcal{P}(\mathbb{F}_p) \ltimes_{\theta} \mathcal{F}(\mathbb{F}_p)^{\times}$  for every  $n \geq 2$ .

## 5 The number of unit-valued polynomial functions on the ring $\mathbb{Z}_{p^n}$

Throughout this section let  $p$  be a prime number and  $n$  be a positive integer. Several authors considered the number of polynomial functions and polynomial permutations on the ring of integers modulo  $p^n$ . However, they neglected to count unit-valued polynomial functions modulo  $p^n$  (see for example, [3, 8]). In this section we apply the results of [3] to derive an explicit formula for the order of the group  $\mathcal{F}(\mathbb{Z}_{p^n})^\times$ , i.e., the number of unit-valued polynomial functions modulo  $p^n$ . In addition to that, we find canonical representations of these functions.

Since  $\mathbb{Z}_{p^n}$  is a homomorphic image of  $\mathbb{Z}$ , we can represent the polynomial functions on  $\mathbb{Z}_{p^n}$  by polynomials from  $\mathbb{Z}[x]$ . To simplify our notation we use the symbol  $[f]_{p^n}$  instead of  $[f]_{\mathbb{Z}_{p^n}}$  to indicate the function induced by  $f \in \mathbb{Z}[x]$  on  $\mathbb{Z}_{p^n}$ .

### Remark 12

1. Evidently, an integer represents a unit modulo  $p$  if and only if it represents a unit modulo  $p^n$  for all  $n \geq 1$ . More generally, for a polynomial  $f \in R[x]$ ,  $[f]_p$  is a unit-valued polynomial function on  $\mathbb{Z}_p$  if and only if  $[f]_{p^n}$  is a unit-valued polynomial function on  $\mathbb{Z}_{p^n}$  for every  $n \geq 1$ .
2. Let  $n > 1$ . Define a map

$$\phi_n : \mathcal{F}(\mathbb{Z}_{p^n}) \longrightarrow \mathcal{F}(\mathbb{Z}_{p^{n-1}}) \text{ by } \phi_n(F) = [f]_{p^{n-1}}, \text{ where } f \in \mathbb{Z}[x] \text{ such that } F = [f]_{p^n}.$$

Evidently,  $\phi_n$  is a well-defined epimorphism of additive groups with  $|\mathcal{F}(\mathbb{Z}_{p^n})| = |\mathcal{F}(\mathbb{Z}_{p^{n-1}})| |\ker \phi_n|$ .

**Notation 3** In the remainder of the paper let  $\beta(n)$  denote the smallest positive integer  $k$  such that  $p^n \mid k!$ , while  $v_p(n)$  denotes the largest integer  $s$  such that  $p^s \mid n$ .

Let  $(x)_0 = 1$ , and let  $(x)_j = x(x-1)(x-2) \cdots (x-j+1)$  for any positive integer  $j$ .

The following lemma from [3] gives the cardinality of  $\ker \phi_n$  of the epimorphism  $\phi_n$  mentioned in Remark 12.

**Lemma 7** [3, Theorem 2] Let  $n > 1$  and let  $\phi_n$  be the epimorphism of Remark 12.

$$\text{Then } |\ker \phi_n| = p^{\beta(n)}.$$

**Lemma 8** Let  $n > 1$ . Then  $|\mathcal{F}(\mathbb{Z}_{p^n})^\times| = p^{\beta(n)} |\mathcal{F}(\mathbb{Z}_{p^{n-1}})^\times|$ .

**Proof** Let  $\phi_n$  be the epimorphism defined in Remark 12 (2). Then  $\phi_n^{-1}(\mathcal{F}(\mathbb{Z}_{p^{n-1}})^\times) = \mathcal{F}(\mathbb{Z}_{p^n})^\times$  by Remark 12 (1). Hence  $|\mathcal{F}(\mathbb{Z}_{p^n})^\times| = |\phi_n^{-1}(\mathcal{F}(\mathbb{Z}_{p^{n-1}})^\times)|$ . Now if  $F \in \mathcal{F}(\mathbb{Z}_{p^{n-1}})^\times$ , then by Remark 12,  $|\phi_n^{-1}(F)| = |\ker \phi_n|$ . Therefore

$$|\mathcal{F}(\mathbb{Z}_{p^n})^\times| = |\phi_n^{-1}(\mathcal{F}(\mathbb{Z}_{p^{n-1}})^\times)| = |\ker \phi_n| |\mathcal{F}(\mathbb{Z}_{p^{n-1}})^\times|.$$

The result now follows from Lemma 7. □

Keep the notations of Notation 3. We now state our counting formula for the order of  $\mathcal{F}(\mathbb{Z}_{p^{n-1}})^\times$ .

**Theorem 4** *Let  $n > 1$  and let  $\mathcal{F}(\mathbb{Z}_{p^n})^\times$  be the group of unit-valued polynomial functions modulo  $p^n$ . Then*

$$|\mathcal{F}(\mathbb{Z}_{p^n})^\times| = (p-1)p^{\sum_{k=2}^n \beta(k)}.$$

**Proof** By applying Lemma 8 exactly  $n-1$  times, we see that  $|\mathcal{F}(\mathbb{Z}_{p^n})^\times| = |\mathcal{F}(\mathbb{Z}_p)^\times| p^{\sum_{k=2}^n \beta(k)}$ .

But  $|\mathcal{F}(\mathbb{Z}_p)^\times| = (p-1)p$  by Remark 7.  $\square$

We need the following fact from [3].

**Lemma 9** [3, Theorem 1 and Corollary 2.2] *If  $F \in \mathcal{F}(\mathbb{Z}_{p^n})$ , there exists one and only one polynomial  $f \in \mathbb{Z}[x]$  of the form  $f = \sum_{i=0}^{\beta(n)-1} a_i(x)_i$  with  $[f]_{p^n} = F$ , where  $0 \leq a_i < p^{n-v_p(i!)}$  for  $i = 0, \dots, \beta(n) - 1$ .*

*It follows that,  $|\mathcal{F}(\mathbb{Z}_{p^n})| = p^{\sum_{i=1}^n \beta(i)}$ .*

Keep the notations of Notation 3. The following theorem gives canonical representations for the elements of  $\mathcal{F}(\mathbb{Z}_{p^n})^\times$  as linear combinations of the falling factorials  $(x)_j$  and those of the unique representations of the elements of  $\mathcal{F}(\mathbb{Z}_p)^\times$  obtained by Lagrange interpolation (see Remark 7).

**Theorem 5** *Let  $l_1, \dots, l_{(p-1)p}$  denote the unique representations of the elements of  $\mathcal{F}(\mathbb{Z}_p)^\times$  by polynomials of degree less than  $p$  obtained by Lagrange interpolation. Let  $n \geq 2$ . Then every element in  $\mathcal{F}(\mathbb{Z}_{p^n})^\times$  can be represented uniquely by a polynomial of the form*

$$l_s(x) + \sum_{i=0}^{\beta(n)-1} a_i(x)_i, \quad (1)$$

where  $0 \leq a_i < p^{n-v_p(i!)}$  for  $0 \leq i < \beta(n)$  with  $p \mid a_i$  for  $i < p$ ; and  $s = 1, \dots, (p-1)p$ .

**Proof** Let  $A$  denote the set of all polynomials in  $\mathbb{Z}[x]$  that satisfy the conditions of equation (1). By Remark 12 (1), every element of  $A$  induces a unit-valued polynomial function on  $\mathbb{Z}_{p^n}$ . Now, let  $B$  denote the set of all polynomials of the form

$$\sum_{i=0}^{\beta(n)-1} a_i(x)_i, \text{ where } 0 \leq a_i < p^{n-v_p(i!)} \text{ for } 0 \leq i < \beta(n) \text{ with } p \mid a_i \text{ for } i < p. \quad (2)$$

Clearly,

$$|A| = (p-1)^p |B|.$$

In the light of Equation (2) and Lemma 9,

$$|B| = \frac{|\mathcal{F}(\mathbb{Z}_{p^n})|}{p^p} = \frac{p^{\sum_{i=1}^n \beta(i)}}{p^p} = p^{\sum_{i=2}^n \beta(i)}.$$

Therefore, by Theorem 4,

$$|A| = (p-1)^p p^{\sum_{i=2}^n \beta(i)} = |\mathcal{F}(\mathbb{Z}_{p^n})^\times|.$$

To complete the proof, we need only show that  $[f]_{p^n} \neq [g]_{p^n}$  whenever  $f, g$  are distinct elements of  $A$ . For simplicity, write  $f = l_{s_1} + f_1$  and  $g = l_{s_2} + g_1$ , where  $f_1, g_1 \in B$  and  $s_1, s_2 \in \{1, \dots, (p-1)^p\}$ . First, we notice that if  $s_1 \neq s_2$ , then  $[f]_p = [l_{s_1}]_p \neq [l_{s_2}]_p = [g]_p$ . Thus  $[f]_{p^n} \neq [g]_{p^n}$  if  $s_1 \neq s_2$ . Now assume that  $s_1 = s_2$ , and  $f_1 \neq g_1$ . Then  $[f_1]_{p^n} \neq [g_1]_{p^n}$  by Lemma 9, and hence

$$[f]_{p^n} = [l_{s_1} + f_1]_{p^n} = [l_{s_1}]_{p^n} + [f_1]_{p^n} \neq [l_{s_1}]_{p^n} + [g_1]_{p^n} = [l_{s_1} + g_1]_{p^n} = [g]_{p^n}.$$

□

**Counterexample 1** Let  $R = \mathbb{Z}_4 = \{0, 1, 2, 3\}$ . In this case,  $\mathbb{Z}_4[\alpha] = \{a + b\alpha : a, b \in \mathbb{Z}_4\}$ . Consider now the polynomial  $f(x) = (x^2 - x)^2$ . By Fermat's little theorem,  $f$  is a null polynomial on  $\mathbb{Z}_4$ ; hence every unit-valued polynomial function is induced by a polynomial of degree less than 4. Next we show that  $f$  is null on  $\mathbb{Z}_4[\alpha]$ . So, if  $a, b \in \mathbb{Z}_4$ , then

$$\begin{aligned} f(a + b\alpha) &= ((a + b\alpha)^2 - (a + b\alpha))^2 = ((a^2 + 2ab\alpha) - (a + b\alpha))^2 \\ &= ((a^2 - a) + (2ab - b)\alpha)^2 = (a^2 - a)^2 + 2(a^2 - a)(2ab - b)\alpha = 0. \end{aligned}$$

Thus  $f$  is null on  $\mathbb{Z}_4[\alpha]$ ; whence every polynomial function on  $\mathbb{Z}_4[\alpha]$  is represented by a polynomial of degree less than 4. The null polynomials on  $\mathbb{Z}_4$  of degree less than 4 are

$$f_1 = 0, f_2 = 2(x^2 - x), f_3 = 2(x^3 - x) \text{ and } f_4 = 2(x^3 - x^2).$$

Then simple calculations shows that  $1 + f'_1, \dots, 1 + f'_4$  induce four different unit-valued functions on  $\mathbb{Z}_4$ . Thus  $|St_\alpha(\mathbb{Z}_4)| = 4$ , but  $|\mathcal{F}(\mathbb{Z}_4)^\times| = 2^{\beta(2)} = 16$  by Theorem 4. Furthermore, by Remark 9 (1), there is an epimorphism from  $\mathcal{P}_{\mathbb{Z}_4}(\mathbb{Z}_4[\alpha])$  onto  $\mathcal{P}(\mathbb{Z}_4)$  which admits  $St_\alpha(\mathbb{Z}_4)$  as a kernel. Thus  $|\mathcal{P}_{\mathbb{Z}_4}(\mathbb{Z}_4[\alpha])| = |\mathcal{P}(\mathbb{Z}_4)||St_\alpha(\mathbb{Z}_4)|$ , and hence

$$|\mathcal{P}(\mathbb{Z}_4) \rtimes_\theta \mathcal{F}(\mathbb{Z}_4)^\times| = |\mathcal{P}(\mathbb{Z}_4)||\mathcal{F}(\mathbb{Z}_4)^\times| > |\mathcal{P}(\mathbb{Z}_4)||St_\alpha(\mathbb{Z}_4)| = |\mathcal{P}_{\mathbb{Z}_4}(\mathbb{Z}_4[\alpha])|.$$

This shows that in general the homomorphisms of Proposition 2 and Theorem 1 need not be isomorphisms.

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